

Attorney Docket No. P5402  
Client Matter No. 80168.0116

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Chad Schoettger

Serial No. 09/728,257

Filed: December 1, 2001

For: TUNNEL MECHANISM FOR PROVIDING  
SELECTIVE EXTERNAL ACCESS TO  
FIREWALL PROTECTED DEVICES



Group Art Unit: 2131

Examiner:

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Group 2100

Commissioner for Patents  
Washington, D.C. 20231

**POWER OF ATTORNEY BY ASSIGNEE AND  
REVOCATION OF PREVIOUS POWERS**

Sun Microsystems, Inc., assignee of the entire right, title and interest in the above-identified application, hereby revokes all previous powers of attorney in the above-identified application and hereby grants power of attorney to the registered practitioners of Hogan & Hartson, LLP included in the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

All correspondence should be addressed to the Customer Number provided below:

**Customer Number:**



Assignee also appoints Kenneth Olsen, Reg. No. 26,493; Timothy J. Crean, Reg. No. 37,116; Joseph T. FitzGerald, Reg. No. 33,881; Alexander E. Silverman, Reg. No. 37,940; Anirma R. Gupta, Reg. No. 38,275; Sean P. Lewis, Reg.

No. 42,798; Michael J. Schallop, Reg. No. 44,319; Bernice B. Chen, Reg. No. 42,403; Noreen A. Krall, Reg. No. 39,734; Richard J. Lutton, Reg. No. 39,756; Monica D. Ward, Reg. No. 40,696; Marc D. Foodman, Reg. No. 34,110; Naren Chaganti, Reg. No. 44,602; Elaine Lee, Reg. No. 41,936; Hugh H. Matsubayashi, Reg. No. 43,779; Paul D. Sorkin, Reg. No. 39,039; Ramin Aghevli, Reg. No. 43,462; Marilyn E. Glaubensklea, Reg. No. 35,521; Andrew C. Chen, Reg. No. 43,544; and Jeffrey L. Myers, Reg. No. 44,252, of Sun Microsystems, Inc. to prosecute this application and transact all business in the Patent and Trademark Office.

**All telephone calls should be directed to William J. Kubida at 719-448-5909.**

Statement Under 37 CFR § 3.73(b)

Sun Microsystems, Inc. states that it is the assignee of the entire right, title and interest in the above-identified application by virtue of an assignment from the inventor(s) which was recorded in the Patent and Trademark Office at Reel (011322), Frame (0893), or as shown on the copy of the assignment enclosed herewith.

The undersigned (which title is supplied below) is empowered to sign this statement on behalf of the assignee.

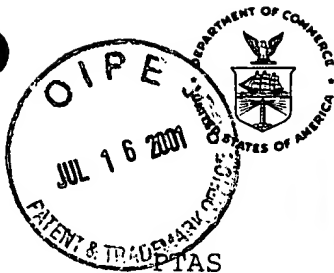
ASSIGNEE:

Date: MAR 14 2001

SUN MICROSYSTEMS, INC.

By: 

Name: Kenneth Olsen, Reg. No. 26,493  
Title: Vice President of Intellectual Property  
Address: 901 San Antonio Road  
MS PAL01-521  
Palo Alto, CA 94303



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FEBRUARY 20, 2001

HOGAN & HARTSON LLP  
WILLIAM J. KUBIDA, ESQ.  
1200 17TH STREET  
SUITE 1500  
DENVER, CO 80202



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UNITED STATES PATENT AND TRADEMARK OFFICE  
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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SCHOETTGER, CHAD

DOC DATE: 11/30/2000

ASSIGNEE:

SUN MICROSYSTEMS, INC.  
901 SAN ANTONIO ROAD, MS PAL01-521  
A DELAWARE CORPORATION  
PALO ALTO, CALIFORNIA 94303

SERIAL NUMBER: 09728257

FILING DATE: 12/01/2000

PATENT NUMBER:

ISSUE DATE:

REGINA COATES-WHITE, SUPERVISOR  
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☐ Mark if additional names of conveying parties attachedName (line 1) **Schoettger, Chad**Name (line 2) 

## Second Party

Name (line 1) Name (line 2) Execution Date  
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Execution Date  
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## Receiving Party

☐ Mark if additional names of receiving parties attachedName (line 1) **Sun Microsystems, Inc.**Name (line 2) **a Delaware corporation**Address (line 1) **901 San Antonio Road**Address (line 2) **MS PAL01-521**Address (line 3) **Palo Alto****CA/USA****94303**

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Correspondent Name and Address

Area Code and Telephone Number

(719) 448-5909

Name (line 1) William J. Kubida, Esq.

Address (line 1) Hogan &amp; Hartson LLP

Address (line 2) 1200 17<sup>th</sup> Street, Suite 1500

Address (line 3) Denver, CO 80202

Address (line 4)

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No

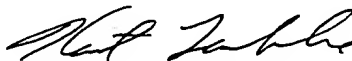
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Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Kent A. Lembke, 44,866

Name of Person Signing



Signature

12/01/00

Date

## ASSIGNMENT

WHEREAS, I, Chad Schoettger, having a residence address of 263 S. Jefferson Avenue, Louisville, CO 80027, have made a certain new and useful invention relating to a TUNNEL MECHANISM FOR PROVIDING SELECTIVE EXTERNAL ACCESS TO FIREWALL PROTECTED DEVICES, for which I am making or I have made application for Letters Patent of the United States, said application being identified by Docket No. P5402 and Client/Matter No. 80168.0116 in the law firm of Hogan & Hartson, L.L.P. One Tabor Center, 1200 Seventeenth Street, Suite 1500, Denver, Colorado, 80202, and which is executed concurrently herewith, and

WHEREAS, I now own the entire right, title and interest therein; and

WHEREAS, Sun Microsystems, Inc., hereinafter ASSIGNEE, a Delaware corporation, whose post office address is 901 San Antonio Road, MS PAL01-521, Palo Alto, CA 94303, is desirous of acquiring the entire interest in and to said invention, said application, and the Letters Patent to be obtained therefor;

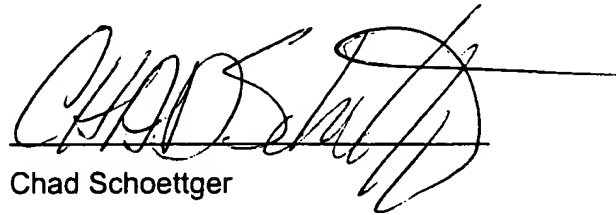
NOW THEREFORE, upon consideration of my employment and other good and valuable consideration, the adequacy of which is hereby acknowledged, I do hereby sell and assign unto ASSIGNEE and ASSIGNEE's legal representatives, successors, and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both of the United States and of other countries, and inventor's certificates, that may or shall issue thereon; and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, consistent with the terms of this Assignment.

UPON SAID CONSIDERATION, I do hereby covenant with ASSIGNEE that I will not execute any writing or do any act whatsoever conflicting with this assignment, and that I will, at any time upon request, without further or additional consideration, but at the expense of ASSIGNEE, execute such additional assignments and other writings and do such additional acts as ASSIGNEE may deem necessary or desirable to perfect ASSIGNEE's enjoyment of this grant, and render all necessary assistance in applying for and obtaining original, continuation, continuation-in-part, divisional, reexamined, renewal, reissued or extended Letters Patent of the United States, or of other countries, or inventor's certificates, on said invention, and in enforcing any rights or causes in action accruing as a result of such applications, certificates, or patents, by giving testimony in any proceedings or transactions involving such applications, certificates, or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall

bind, and inure to the benefit of, the assigns and legal representatives of both parties.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal on the date hereinafter set forth.

Date: Nov 30, 2000

  
Chad Schoettger

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Chad Schoettger

Serial No. 09/728,257

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SELECTIVE EXTERNAL ACCESS TO FIREWALL  
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Group Art Unit: 2131

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Sir:

The undersigned hereby certifies that the following documents:

1. Power of Attorney by Assignee and Revocation of Previous Powers;
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relating to the above application, were deposited as "First Class Mail", with the United States Postal Service, addressed to The Assistant Commissioner for Patents, Washington, D.C., 20231, on 13 July 2001.13 July 2001  
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Mailer

  
Peter J. Meza, Reg. No. 32,920  
HOGAN & HARTSON<sup>LLP</sup>  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5900 Tel  
(303) 899-7333 Fax